

# Universal Postnatal Home Visiting: Evidence of Impact and Lessons Learned

**Kenneth A. Dodge**

*Presentation to the ACF*

*2016 National Research Conference on Early Childhood*

*July 12, 2016*

*Support is appreciated from The Duke Endowment, the Pew Center on the States, NIDA, and NICHD.  
Colleagues in Durham Connects are Robert Murphy, Karen O'Donnell, and Ben Goodman.*



# The Challenge to Change Community Rates of Child Maltreatment

- The Duke Endowment had interest and ten-year commitment
- Requirements in a response:
  - Replicable model based in developmental science
  - Rigorous evaluation of impact
  - Community rate of maltreatment / child well-being as the dependent variable
- Plan:
  - Formulate a model of child maltreatment based on study of risk and processes
  - Pilot several intervention and policy ideas
  - Test through randomized controlled trials
  - Disseminate

# Risk Factors for Early Child Maltreatment Vary across Families

## *Healthcare:*

1. Parent healthcare
2. Infant healthcare
3. Health insurance

## *Parenting/childcare:*

4. Childcare plans
5. Parent-infant relationship
6. Manage infant crying

## *Family safety:*

7. Family financial stability
8. Family violence
9. History of parenting difficulties

## *Parent mental health:*

10. Depression
11. Substance abuse
12. Emotional support



# UNIVERSAL DELIVERY IS A PARADIGMATIC SHIFT

- Every family is vulnerable at birth, but in different ways.
  - Across areas of demographic risk, 94% of families in Durham had 1+ needs for education and/or community resources.
  - The needs vary across families.
- Universal is the best route to community-level change.
- Universal efforts should not replace more intensive targeted programs, but they complement each other.
  - Analogy to a family practitioner working with specialized care.

# Model of Universal Parent Intervention

## 1. Top down policy for community resources

- Preventive System of Care
- Align community resources
- Reach and screen all families

## 2. Bottom up practice with each birthing family

- Assess to identify risks/needs
- Brief interventions or motivational interview
- Improve community connectedness



## HOW A UNIVERSAL PROGRAM HAPPENS

- Every family in the identified “community” with a newborn is eligible.
  - City, county, neighborhood, health system
- Family Connects is voluntary.
- Family Connects works to align community resources with input from families about the care and support they need.
- The model also leads to identification of gaps in the local system of care.

# Three Steps to *Family Connects*

1. Connect with every family (3-7 contacts)
  - Universal recruitment at birthing hospital
  - Home visit(s) by public health nurse
  - Screen, assess 12 risk factors, quantify risk
2. Connect family with community, as needed
  - Professional, paraprofessional, and natural
3. So that parents can connect with infant



# CORE FAMILY CONNECTS PROGRAM COMPONENTS

Community  
Alignment



Home  
Visiting

Data &  
Monitoring





# 1. COMMUNITY ALIGNMENT



# THE PREVENTIVE SYSTEM OF CARE: THE CONTEXT FOR FAMILY CONNECTS

## Community Alignment Framework

- Identify existing services supporting child and family needs, ranging from housing, to mental health services, to early intervention.
- Establish an Agency Finder for Family Connects program implementation and documentation.
- Identify service delivery gaps for feedback to community and key stakeholders.
- Identify key stakeholders to provide community context and support expanded program reach.



## PREVENTIVE SYSTEM OF CARE: COMMUNITY ADVISORY BOARD

### **Establish a community advisory board (CAB) for ongoing communication among agencies relevant to Family Connects**

- The CAB allows for assessment of community readiness prior to program installation, as well as ongoing monitoring of community alignment during program implementation.
- The CAB provides a major source for formative evaluation.
- Also fosters community buy-in and ownership of the program.



## **2. NURSING INTERVENTIONS**



# NURSING INTERVENTIONS

- Engagement & scheduling the home visit(s)  
Ideally face to face in hospital post-delivery
- The integrated home visit (IHV; ~2 Hours) at 2-3 weeks
- Follow-up visits (0-2 Total) and telephone calls as needed for further assessment, facilitating linkage to community services, and family support.
- Post-visit call (PVC)
  - For customer satisfaction, quality assurance, and confirmation of connections to community resources



# THE FAMILY SUPPORT MATRIX

## Support for Health Care

1. Maternal Health
2. Infant Health
3. Health Care Plans

## Support for Safe Home

1. Household Safety / Material Supports
2. Family and Community Safety
3. History with Parenting Difficulties

## Support for Infant Care

1. Child Care Plans
2. Parent-Child Relationship
3. Management of Infant Crying

## Support for Parent(s)

1. Parent Well-Being
2. Substance Abuse
3. Parent Emotional Support

Each factor is rated as:

1 = No needs

3 = Community resource needed

2 = Needs addressed during visit

4 = Emergency intervention needed

SearchForm MainForm CaseContactForm CaseContactAddForm

██████████ Delivery Date : 3/16/2015

ContactDate

INITIAL HOME VISIT	NURSE FOLLOW UP ACTIVITY	OTHER COMMUNICATION	OTHER ACTIVITY
<input type="button" value="Completed"/>	<input type="button" value="Nurse Follow Up Family Visit In Person"/>	<input type="button" value="Unsuccessful Attempt to Reach Client or Referral by Phone"/>	<input type="button" value="No Consent for Closure Letters"/>
<input type="button" value="Incomplete"/>	<input type="button" value="Nurse Follow up Phone Call with Family"/>	<input type="button" value="Briefly spoke with Client/Family"/>	<input type="button" value="Addendum Contact or Action"/>
<input type="button" value="Client not home"/>	<input type="button" value="Nurse Contacted Referral"/>	<input type="button" value="Sent a Letter To Client"/>	<input type="button" value="Lactation Visit Completed"/>
<input type="button" value="Client Refused"/>		<input type="button" value="Emailed or Texted Client"/>	
		<input type="button" value="Message Received FROM Client"/>	
		<input type="button" value="Had Contact with an Agency"/>	

Mother Present?  Baby Present?  
 Father / Partner Present?  Other Person Present?

ContactNotes

Navigation Pane

# 3. DATA AND MONITORING



## PROGRAM DATA

- DOCUMENTATION of clinical encounters
  - Electronic medical record and billing
- MONITORING program components for quality assurance
  - Population reach (scheduling & IHV completion)
  - Program implementation quality (fidelity & reliability)
  - Referral rates and outcomes
  - Family-consumer satisfaction
- IDENTIFYING community-level rates of risk and community capacity to support family needs



# Evaluation Design for *Durham Connects*

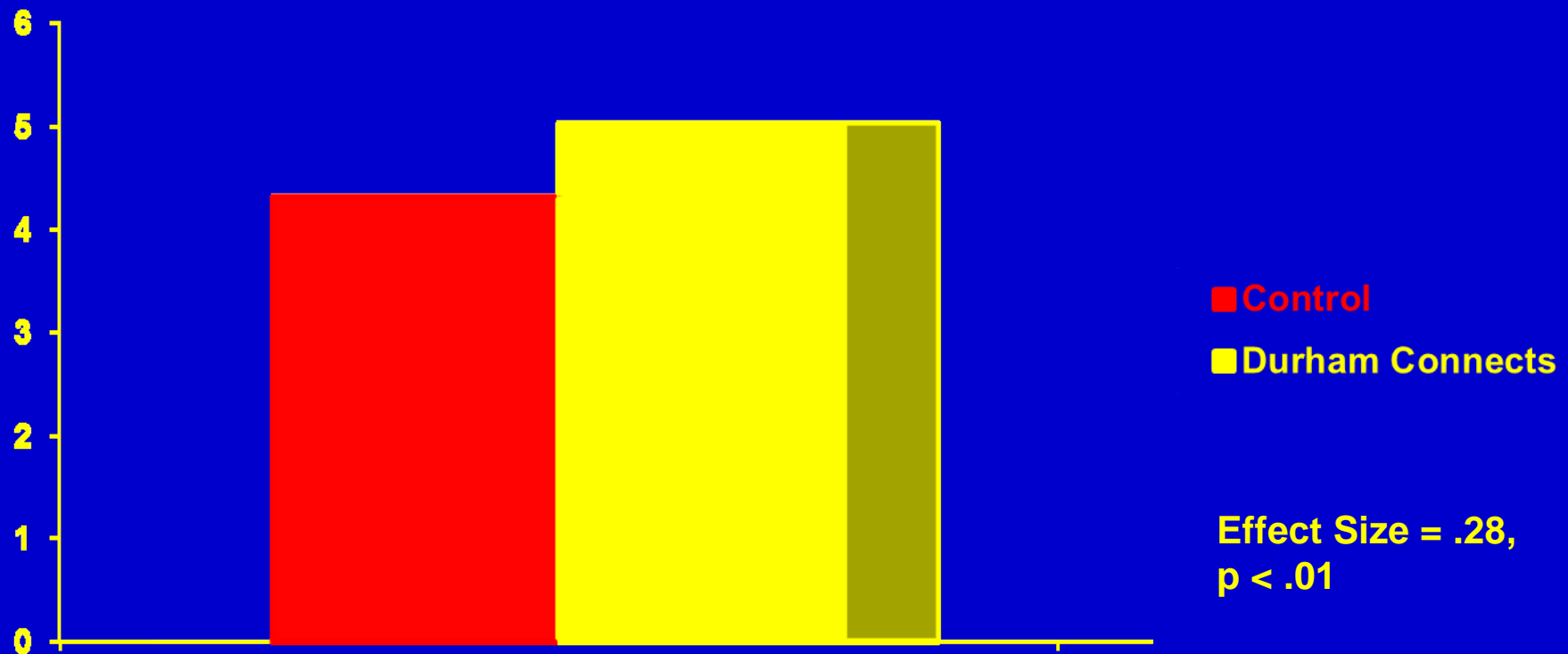
- Randomly assign by even-odd birthdate
  - 4,780 births between 7-1-09 and 12-31-10
  - Recruit even birthdates into intervention
  - No contact with controls
- Analyze by intent-to-treat
  - Administrative record review of all births
  - Random sample (n=686, 80.0% participation) from birth records for in-home interview at age 6 mos.
- Replicate
  - Second RCT
  - Field quasi-experiment

# Implementation Findings

- High penetration
  - 80.0% of families agree
  - Of these, 85.9% complete
- High fidelity to protocol (Independent rater for 11%)
  - 85% compliance by nurse
  - Kappa for scoring = .69
- 45% of families show need for community resource
  - 39% connected community service

# Mean Number of Community Connections Reported at Age 6 months

*(Dodge et al., 2013, Amer J Pub Health)*



# Impacts at Age 6 Months

*(Dodge et al., 2013, Pediatrics)*

1. Mother-reported positive parenting behaviors  
-- higher for intervention than control  
(ES = .25,  $p < .01$ )
2. Blinded observer-rated mother parenting quality  
-- higher for intervention than control  
(ES = .23,  $p < .05$ )
3. Child care center quality rating (when in care)  
-- higher for intervention than control  
(ES = .85,  $p < .01$ )

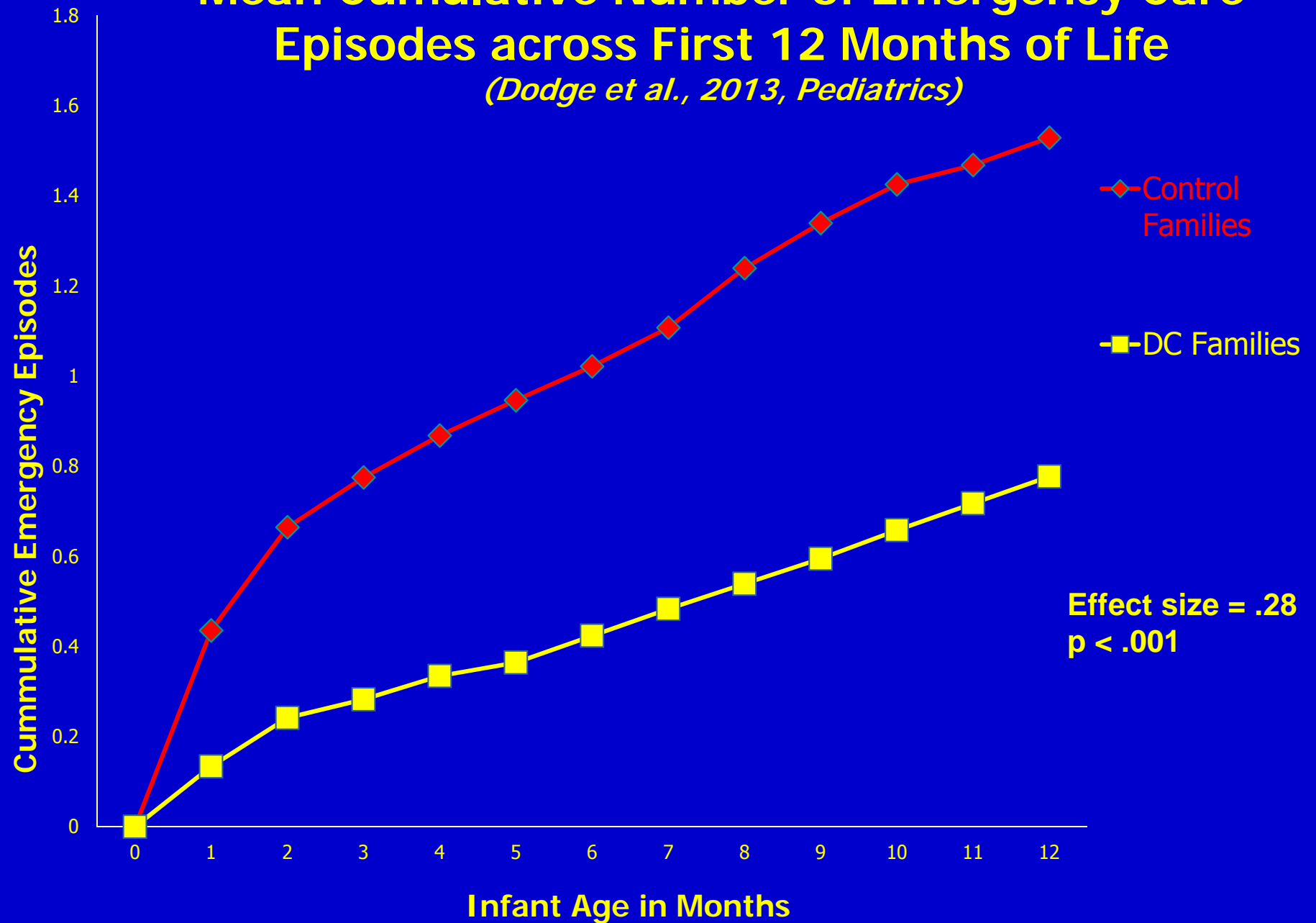
# Impacts at at Age 6 Months

*(Dodge et al., 2013, Pediatrics)*

4. Mother-rated father-infant relationship
  - better for intervention
  - (ES=.21,  $p < .07$ )
  
5. Observer-rated home safety
  - better for intervention
  - (ES=.22,  $p < .05$ )
  
6. Probability of mother clinical level anxiety
  - lower for intervention
  - (OR=.65,  $p < .04$ )

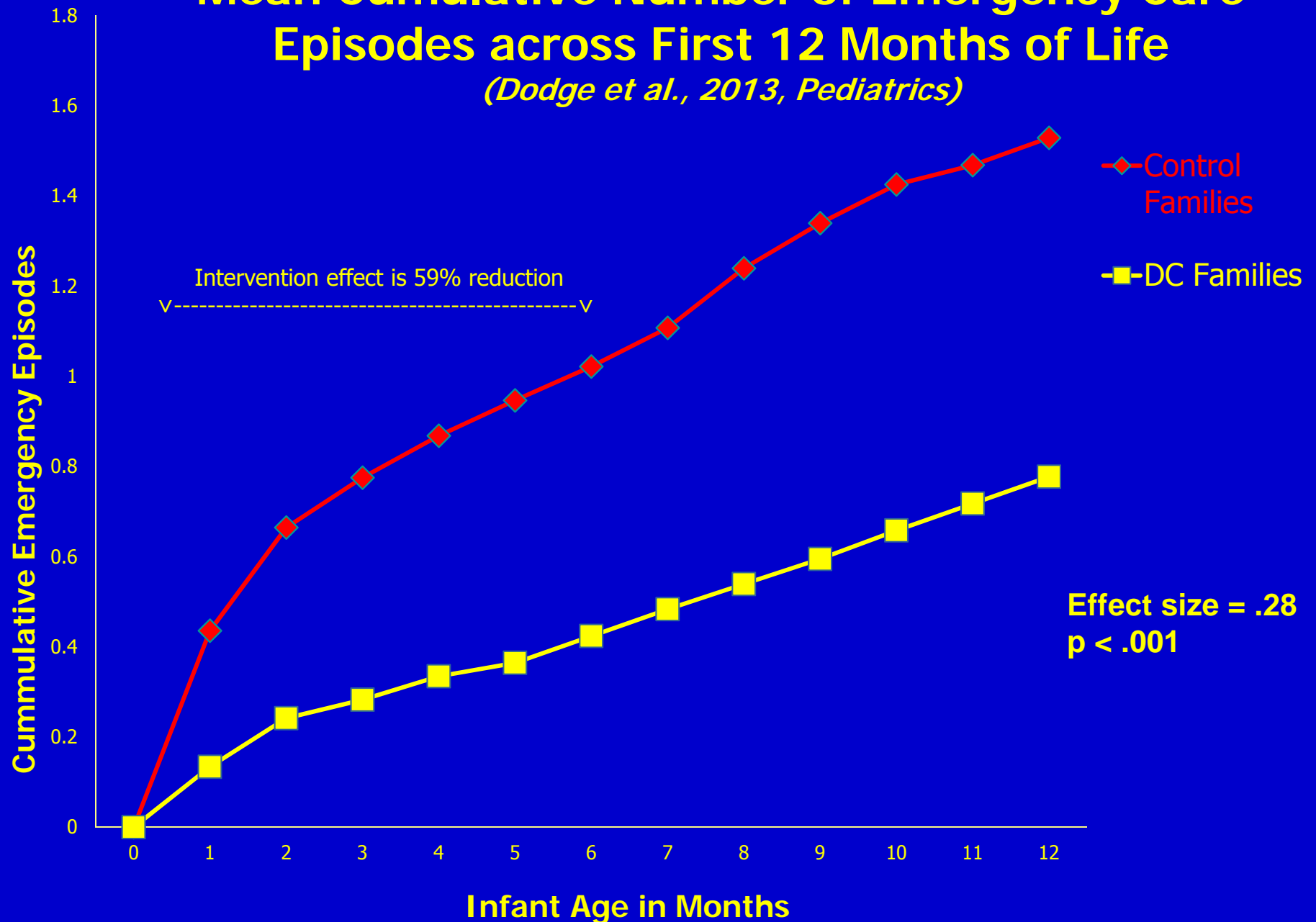
# Mean Cumulative Number of Emergency Care Episodes across First 12 Months of Life

*(Dodge et al., 2013, Pediatrics)*



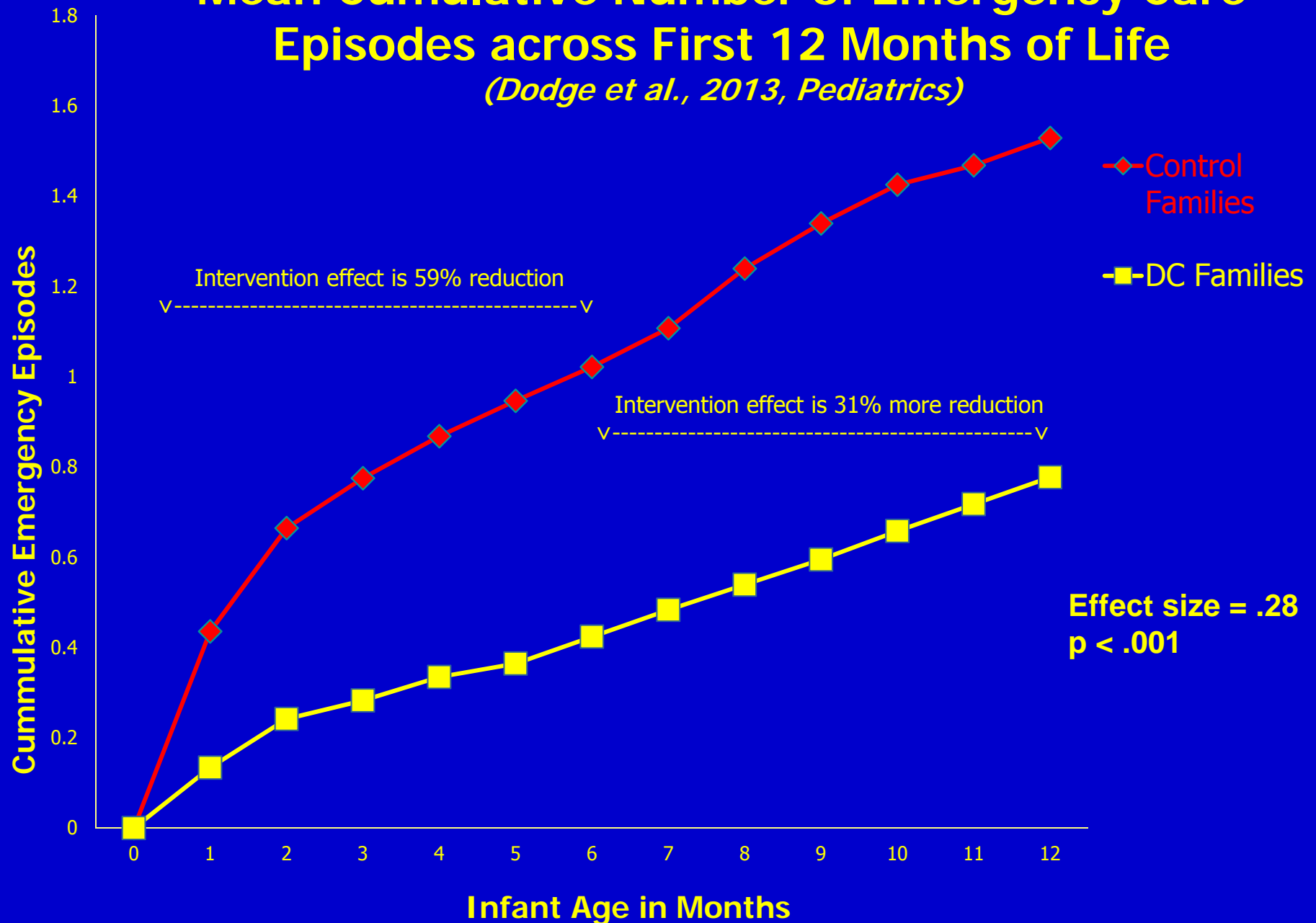
# Mean Cumulative Number of Emergency Care Episodes across First 12 Months of Life

(Dodge et al., 2013, Pediatrics)



# Mean Cumulative Number of Emergency Care Episodes across First 12 Months of Life

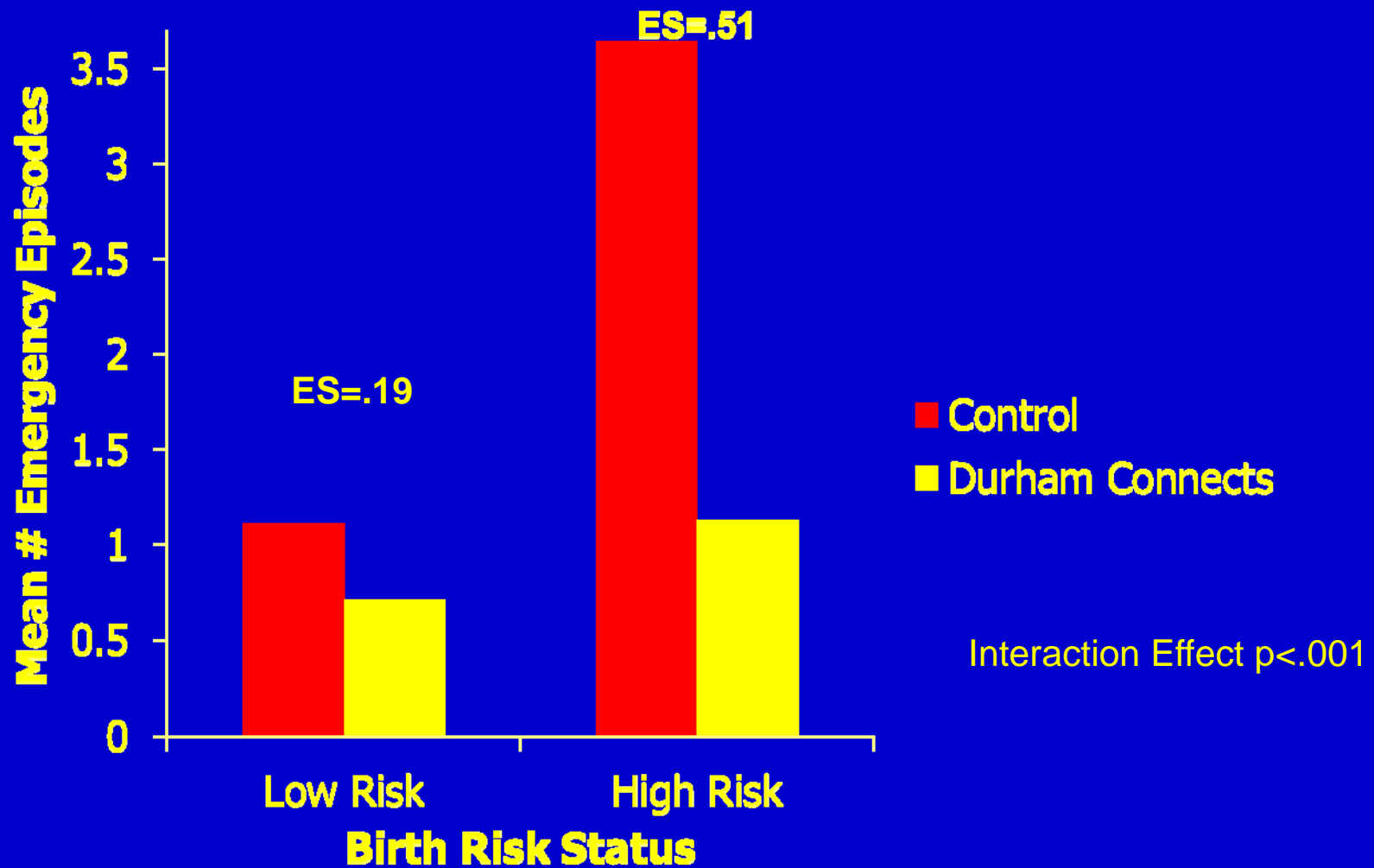
(Dodge et al., 2013, Pediatrics)





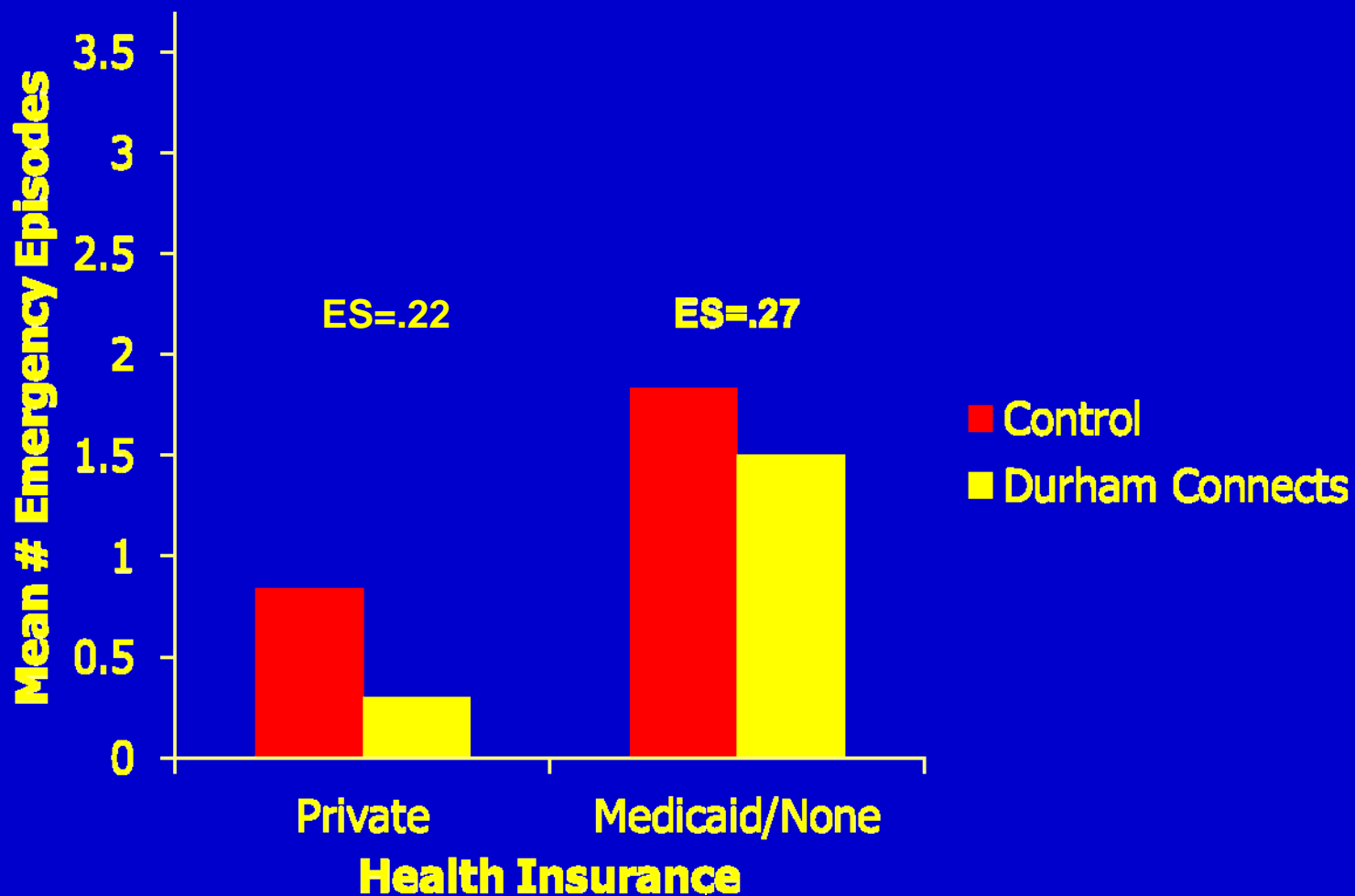
# Cumulative Emergency Care at Age 12 Months for High-Risk and Low-Risk Families

*(Dodge et al., 2013, Pediatrics)*



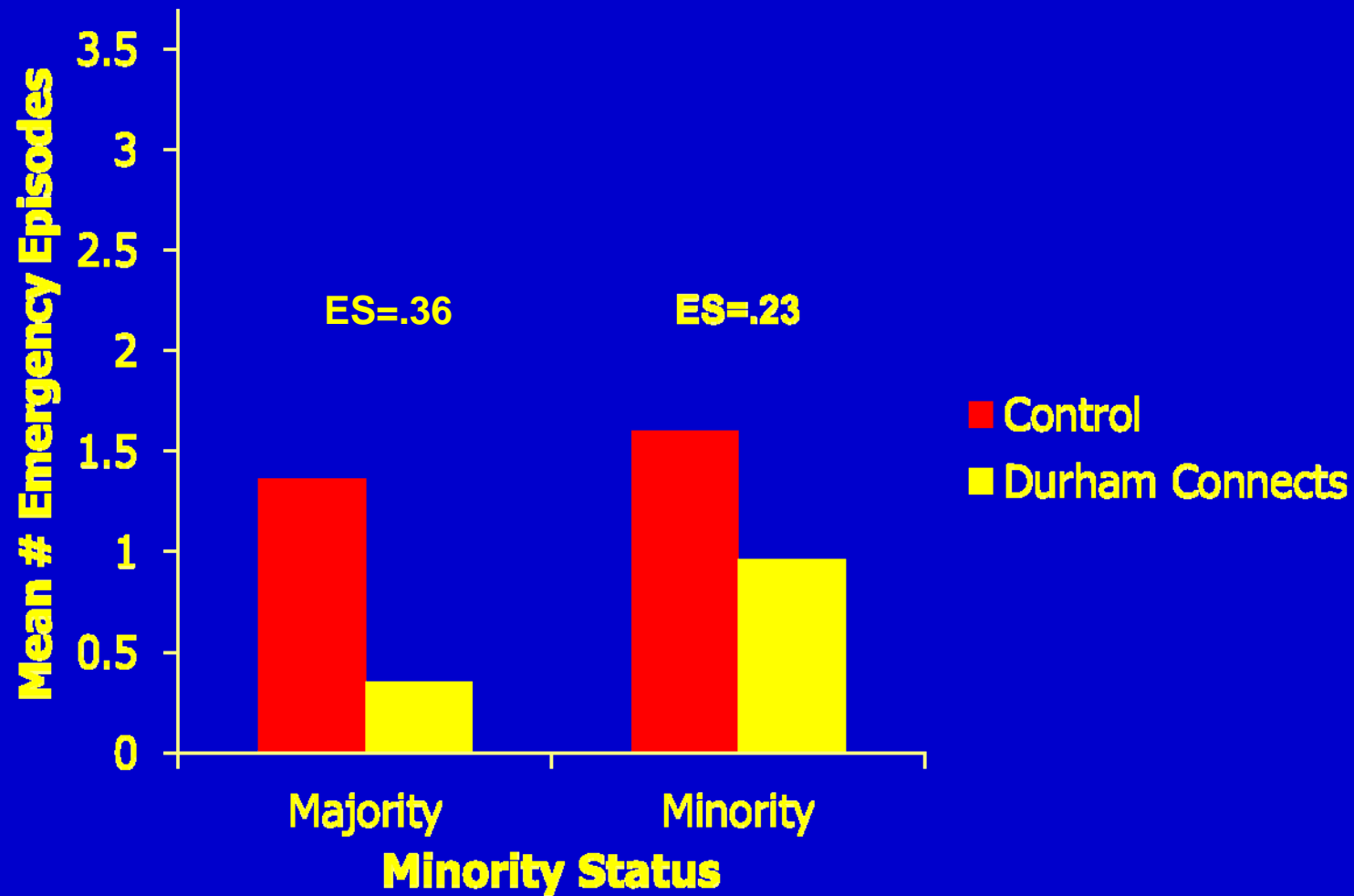
# Cumulative Emergency Care at Age 12 Months For Insured and Medicaid Families

*(Dodge et al., 2013, Pediatrics)*



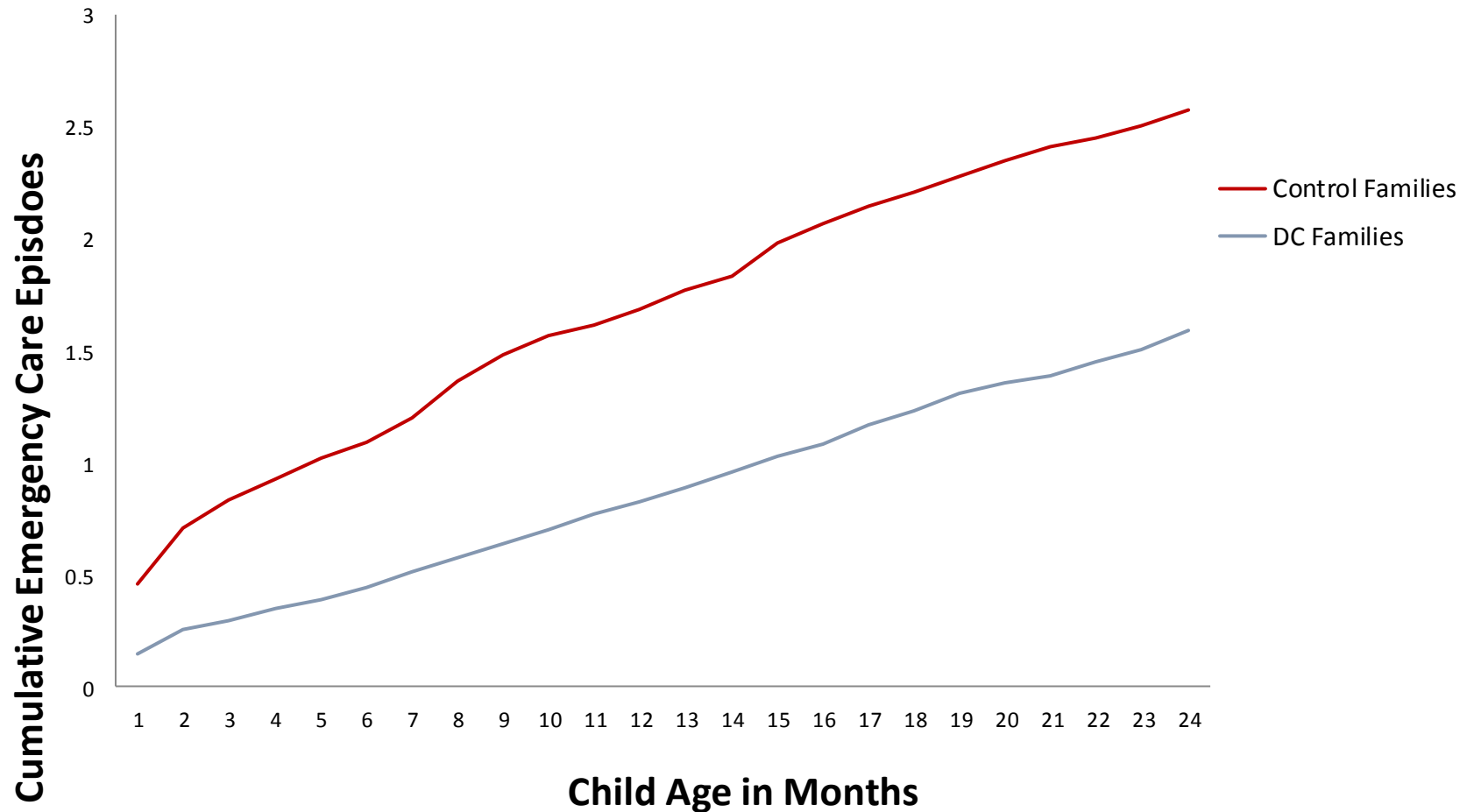
# Cumulative Emergency Care at Age 12 Months for Majority and Minority Status Families

*(Dodge et al., 2013, Pediatrics)*

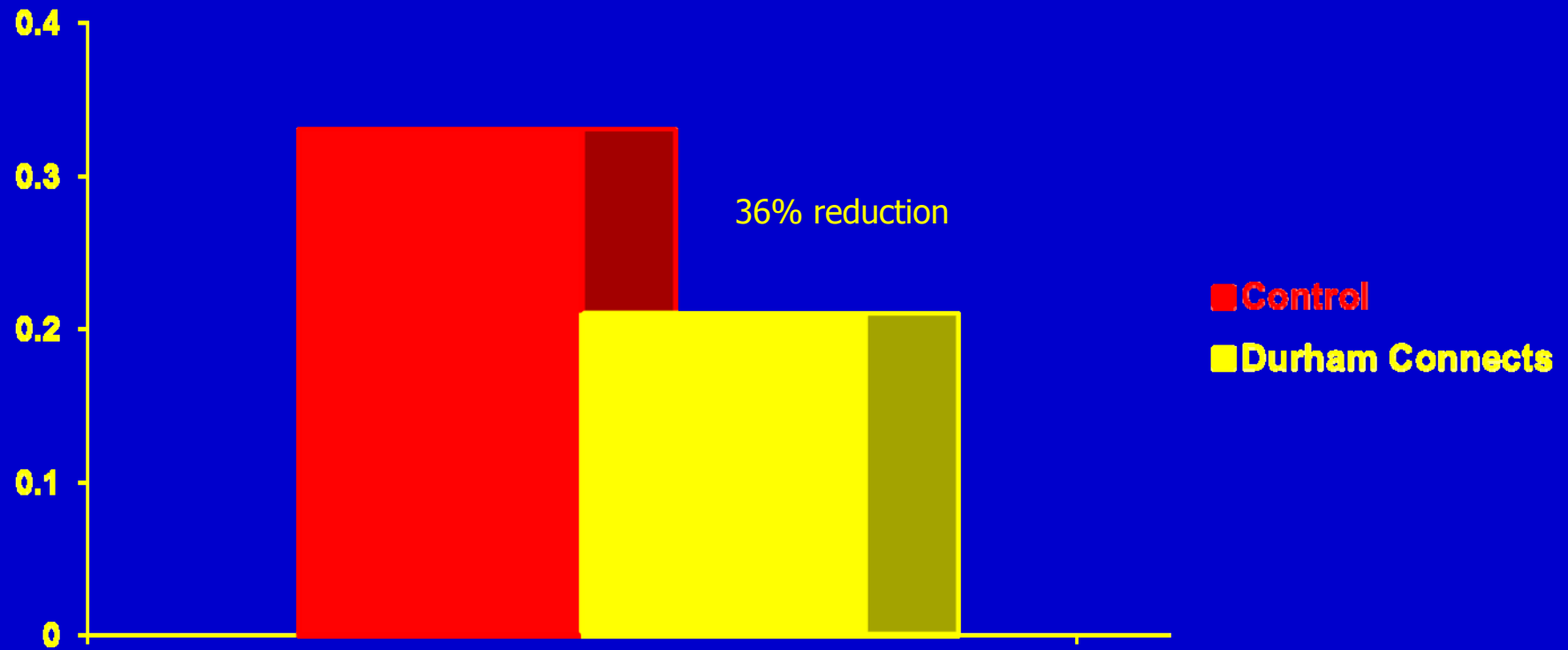


# DC Impact at Age 24-Months

Mean Cumulative Number of ED Care Episodes  
Birth - 24-Months



# Mean Number of Reports to Child Protective Services for Child Maltreatment through Age 48 Months



# Benefit-Cost Analysis of Intervention Impact at Age 12 Months

*Durham Connects* intervention costs: \$700/assigned family

Emergency Care Outcome Costs:	CONTROL	DC
\$ 423 per emergency visit	x .83 = \$ 351	x .68 = \$288
\$3,722 per hospital night	x .74 = \$ 2,754	x .11 = \$409

$$BCR_{DC} = \frac{(OC_C - OC_I)}{(IC_I - IC_C)} = \frac{(\$3,105 - \$697)}{\$700} = \$ 3.44$$

For Durham, NC:

3,187 resident births/year	
Total emergency care costs without DC:	\$ 9,895,635
Durham Connects would cost:	<u>\$ 2,230,900</u>
Durham Connects would yield savings of:	\$ 7,674,296

# Benefit-Cost Analysis of Intervention Impact at Age 12 Months

*Durham Connects* intervention costs: \$700/assigned family

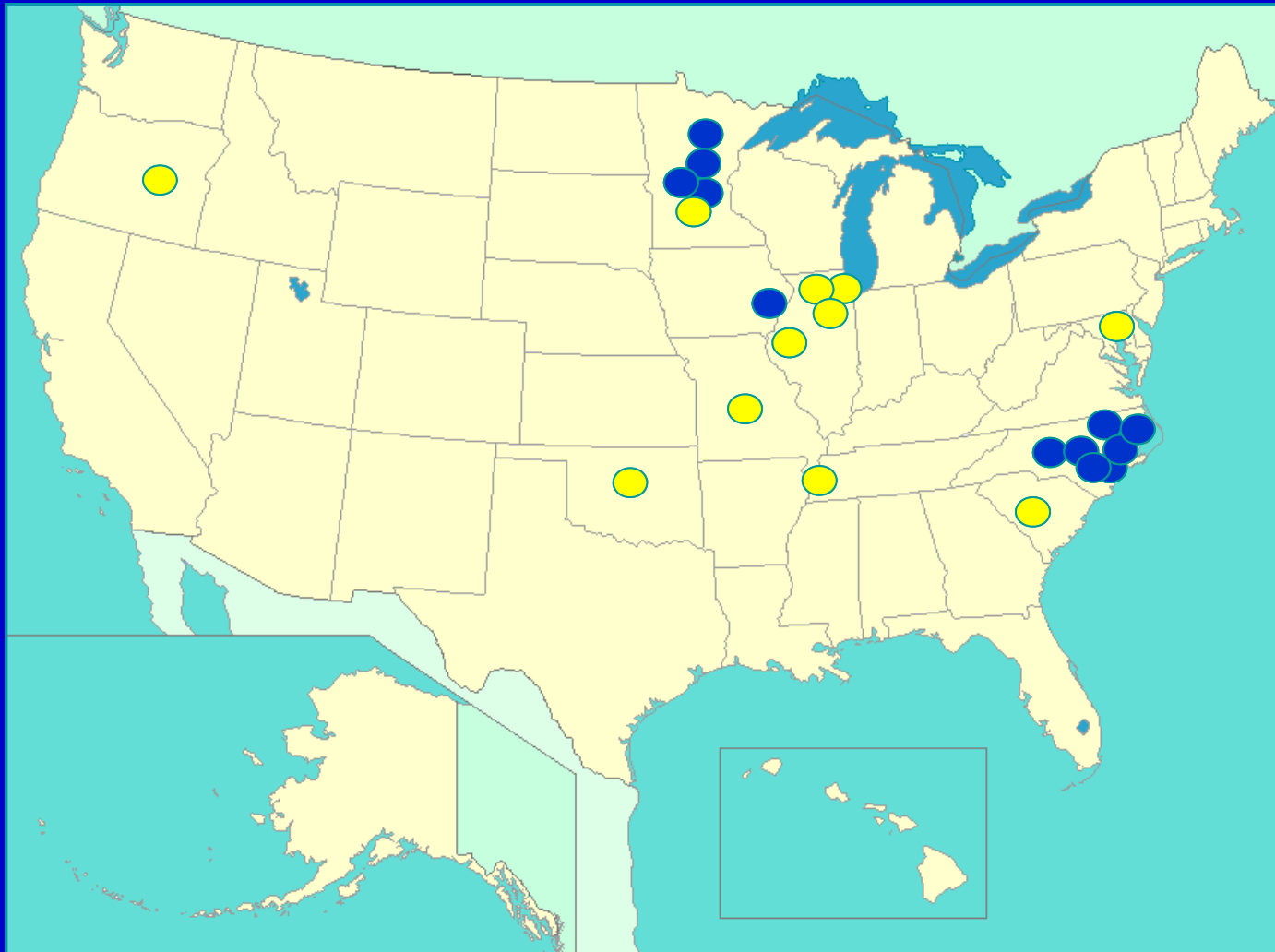
Emergency Care Outcome Costs:	CONTROL	DC
\$ 423 per emergency visit	x .83 = \$ 351	x .68 = \$288
\$3,722 per hospital night	x .74 = \$ 2,754	x .11 = \$409

$$BCR_{DC} = \frac{(OC_C - OC_I)}{(IC_I - IC_C)} = \frac{(\$3,105 - \$697)}{\$700} = \$3.44$$

For Durham, NC:

3,187 resident births/year	
Total emergency care costs without DC:	\$ 9,895,635
Durham Connects would cost:	<u>\$ 2,230,900</u>
Durham Connects would yield savings of:	\$ 7,674,296

*Family Connects* is MIECHV-approved and is being disseminated to sites across the nation



● Now

● Planned



# Lessons Learned in Infant Home Visiting

- Universal penetration is possible
- Short-term screening and connection vs. ongoing treatment
- Clarity of goals
- Administrative home
- Financing